United States Patent and Trademark the (Affice

Serial No.: 09/877,439 Docket No.: 17209-019 Filed: 06/08/2001

Conf.No.: 1544 Art Unit: 3695

Applicant(s): Hans-Linhard Reich Examiner: Dass, Harish T

For: Method and System For Automated Transaction Compliance

Processing

Mailstop RCE

Commissioner for Patents

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Alexandria, VA 22313-1450

AMENDMENT/RESPONSE ACCOMPANYING RCE, 37 CFR §1.114

Sir:

Further to the Final Office Action dated March 11, 2010 (hereinafter "Office Action"), the Examiner Interview dated February 23, 2010 and July 20, 2010, in view of the concurrently filed request for Request Continued Examination ("RCE"), and in view of the following amendments and/or remarks, Hans-Linhard Reich and/or Attorney(s) for applicant(s) (hereinafter "Applicant") request entry thereof and reconsideration of the abovereferenced application.

Amendments to the Claims are reflected in the listing of claims begin on page 2.

Remarks begin on page 17 of this paper.

35 USC § 112 remarks begin on page 18 of this paper.

35 USC § 103 remarks begin on page 18 of this paper.